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Atty. Dkt. No.: 06965-1001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Miguel Angel Fuenzalida DIAZ et al.
Serial No.: 09/922,532
Filed: August 3, 2001
For: PHARMACEUTICAL AND FOOD COMPOSITIONS CONTAINING "WOOD ALCOHOLS" OR "WOOD STEROLS" USEFUL FOR LOWERING SERUM CHOLESTEROL

Group Art Unit: To be assigned
Examiner: To be assigned.

San Diego, California 92101
November 14, 2001

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant makes the below-listed documents of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability.

Patent Documents

1. United States Patent No. 5,856,316 (Laguna Granja et al.) issued January 5, 1999;
and
2. United States Patent No. 5,952,393 (Sorkin, Jr.) issued September 14, 1999.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail on the date shown below with sufficient postage in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: November 15, 2001

Signature:

Karen M. Cruz

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Copies of the above-listed documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office in examination of this application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned to the undersigned with the next communication, in accordance with MPEP § 609.

It is believed that no fee is required in connection with this submission. In the event that a fee is required, please charge our Deposit Account No. 02-0410, in the name of Baker & McKenzie.

Respectfully submitted,



Mitchell P. Brook

Attorney for Applicant

Reg. No. 32,967

c/o BAKER & McKENZIE
101 West Broadway, 12th Floor
San Diego, California 92101
Telephone No.: (619) 236-1441